

---

# **Seitenblick auf die Schiedsgerichtsbarkeit: Erfahrungen in der WIPO**

**Prof. Dr. Daniel Kraus**

**Tagung für Informatik und Recht**

Bern – 8. Dezember 2011

# Using IT to Facilitate ADR

---

- Purposes
  - Facilitate case communication (process)
    - Time and cost
    - Includes recording and submitting evidence
  - Enhance result (product)
- Standard tools
  - Email, Internet, ‘Video conferencing’
    - Hearings, meetings, witnesses, deliberations
- Custom-made applications
  - e.g., Virtual Magistrate, Cybersettle, WIPO ECAF

# WIPO *Electronic Case Facility*

---

- Web-based custom-created application
  - For WIPO arbitration and mediation cases
  - By party agreement in consultation with neutral(s)
- Electronic case file
  - Filing, storage, search, retrieval
  - Email alerts to all participants for each new use of case file
  - Separate message board for communication outside case file
- Case management information
  - Case overview, contact details

# WIPO ECAF: Case File

**ECAF HOME**  
Help  
Arbitration  
Mediation  
Expert Determination  
Logout

## WIPO Electronic Case Facility (ECAF)

Case: **WIPOA20020**  
Licensing v. AB Technics Inc.

Case Overview | Contact Information | **Case File** | Message Board | Neutral Message Board

### Case File

Only documents to be recorded as part of the casefile should be submitted in the Case File.  
Only first-level submissions will trigger an email notification to users.  
Display issues from variations in browsers may be resolved by adjusting the Text Size in the browser menu.

 [Search Case](#)  [Submit New File](#)

[Expand](#) | [Collapse](#)

To sort, you may click on the column headers

ITEM NO	SUBMITTED BY	DATE	SUBJECT	ANNEX
3	WIPO AMC Case Manager	04/06/09 14:44:26	<a href="#">Main Case File 3</a>	1 <a href="#">[ Add ]</a>
3.1		04/06/09 14:45:21	<a href="#">Annex 1</a>	<a href="#">[ Add ]</a>
2	WIPO AMC Case Manager	22/05/09 16:11:02	<a href="#">Main Case File 2</a>	2 <a href="#">[ Add ]</a>
2.1		22/05/09 16:11:22	<a href="#">Annex 1</a>	3 <a href="#">[ Add ]</a>
2.1.1		02/06/09 11:03:17	<a href="#">Annex 1</a>	
2.1.2		21/09/09 12:05:27	<a href="#">Annex 2</a>	

# WIPO ECAF: Case Management

## ►► Core case information at-a-glance

The screenshot displays the WIPO Electronic Case Facility (ECAF) interface. On the left is a navigation menu with links for ECAF HOME, Help, Arbitration, Mediation, Expert Determination, and Logout. The main content area shows the case title 'WIPO Electronic Case Facility (ECAF)' and 'Case: WIPOA20020'. Below this is the case name 'Licensing Ltd. v. AB Technics Ltd.' and a set of tabs for 'Case Overview', 'Contact Information', 'Case File', 'Message Board', and 'Neutral Message Board'. The 'Case Overview' tab is active, displaying a table of case details.

Type of Procedure	Arbitration
Case Status	Pending
Case Outcome	
Case Manager	Ignacio de Castro
Neutral(s)	
Clause/Submission Agreement	Settlement Agreement Date: September 21, 2005 Type: WIPO Arbitration Clause (Adapted)
Governing Law (if applicable)	Substance: Arbitration: New York
Place of Arbitration (if applicable)	New York City, New York, United States of America
Action Information	

# WIPO ECAF: Security

---

- Authentication
  - ECAF username
  - ECAF password
  - RSA SecurID password
    - Changing passcode generated by RSA SecurID (Valid only for 60 seconds)
- Encryption
  - Modern SSL (Secure Socket Layer) system
- Firewall protection

# WIPO ECAF: Envisaged Benefits

---

- Case Communication and Management
  - Easy
  - Instant
  - Centralized
  - Location-independent
  - Secure

## 32nd America's Cup

---

- WIPO ECAF made available, in certain circumstances, in non-WIPO procedures
- Example: America's Cup Jury Procedure Electronic Case Facility (ACJ ECAF)
- America's Cup Jury Procedure
  - multiple, known parties, diverse locations, need for speedy resolution, high-tech environment
- ACJ ECAF defined in the Rules of Procedure as principal means of communication:
  - “Parties shall use ECAF for all filing, including all Documentation, under these Rules of Procedure...”

# WIPO ECAF

---

- Introduction through WIPO Center web site:

*<http://www.wipo.int/amc/en/ecaf/>*

- WIPO ECAF Users Manual:

*<http://www.wipo.int/amc/en/ecaf/help/>*